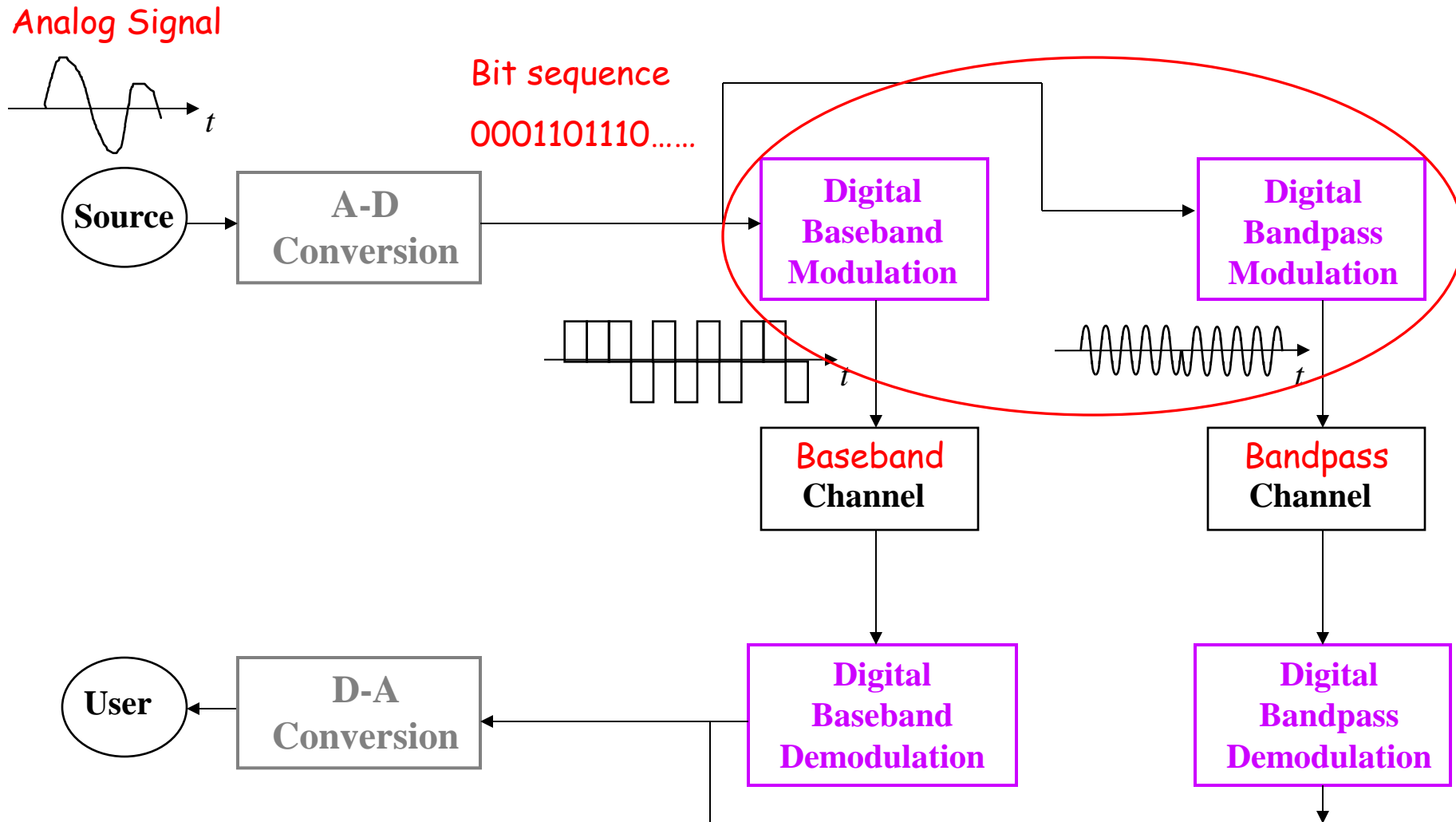


Lecture 7. Digital Communications

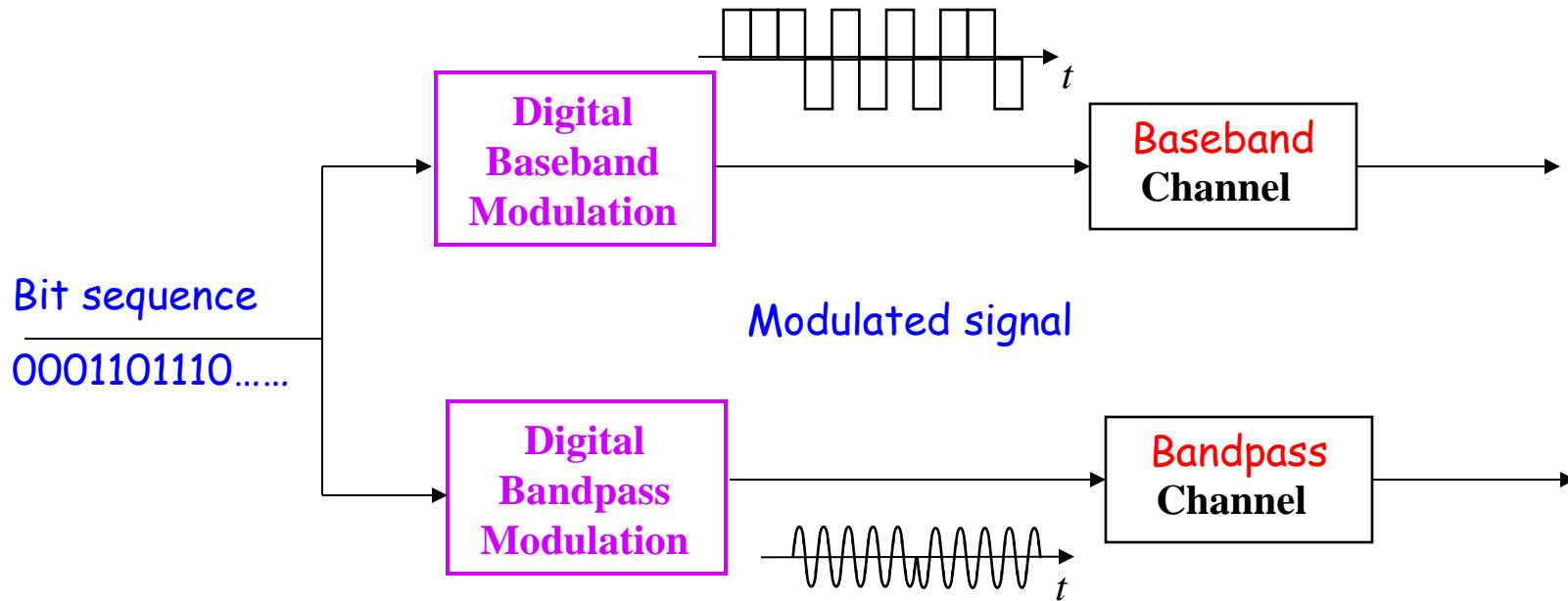
Part II. Digital Modulation

- Digital Baseband Modulation
- Digital Bandpass Modulation

Digital Communications

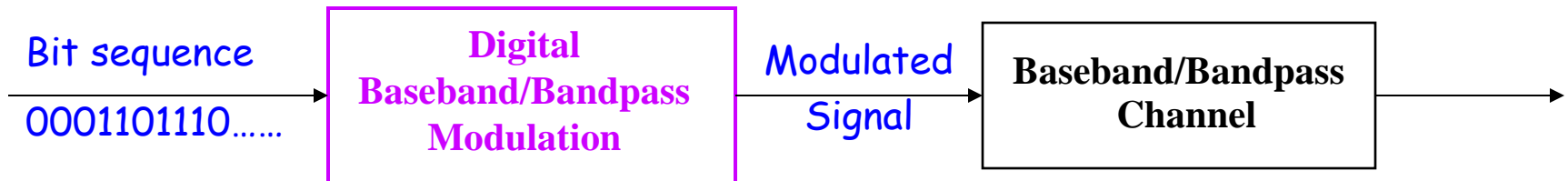


Digital Modulation



- How to choose proper digital waveforms to "carry" the digits?

Digital Modulation



- Bit Rate: number of bits transmitted in unit time
- Required channel bandwidth: determined by the bandwidth of the modulated signal.
- Bandwidth Efficiency:

$$\gamma \triangleq \frac{\text{Information Bit Rate } R_b}{\text{Required Channel Bandwidth } B_h}$$

Digital Baseband Modulation

- Pulse Amplitude Modulation (PAM)
- Pulse Shaping

Digital Baseband Modulation

- Choose **baseband** signals to carry the digits.
 - Each baseband signal can carry multiple bits.

Binary

- Each baseband signal carries 1 bit.
- Bit Rate: $R_b = 1/\tau$
- Totally 2 baseband signals are required.

M-ary

- Each baseband signal carries a symbol (with $\log_2 M$ bits).
- Symbol Rate: $R_s = 1/\tau$ Bit Rate: $R_b = (\log_2 M) / \tau$
- Totally M baseband signals are required.

Digital Baseband Modulation

- Focus on “amplitude modulation”
 - The baseband signals have the same shape, but different amplitudes.
 - Time-domain representation of the modulated signal:

$$s(t) = \sum_{n=-\infty}^{\infty} Z_n \cdot v(t - n\tau)$$

where Z_n is a discrete random variable with $\Pr\{Z_n = a_i\} = 1/M$, $i = 1, \dots, M$,
 $v(t)$ is a unit baseband signal.

- Power spectrum of the modulated signal:

$$G_s(f) = \frac{1}{\tau} |V(f)|^2 \cdot \left(\sigma_Z^2 + \frac{\mu_Z^2}{\tau} \sum_{m=-\infty}^{\infty} \delta\left(f - \frac{m}{\tau}\right) \right)$$

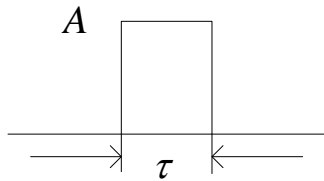
Read the
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material for
details.

Pulse Amplitude Modulation (PAM)

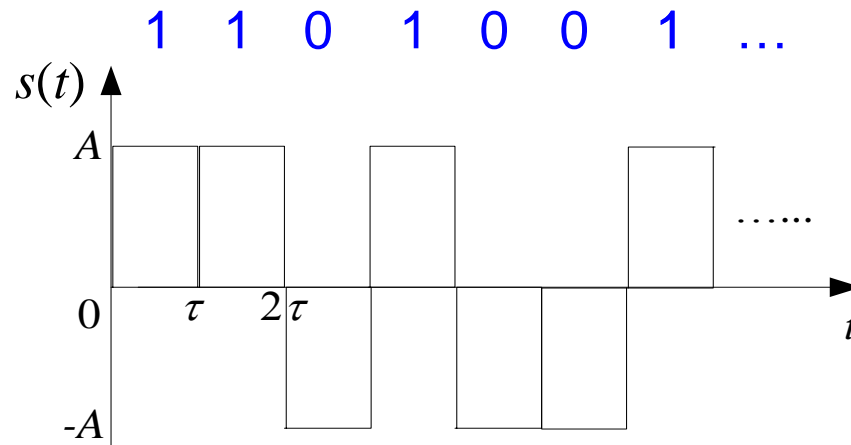
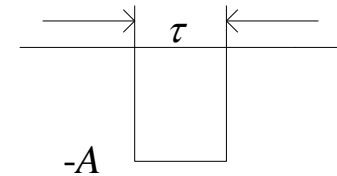
- Binary PAM
- Binary On-Off Keying (OOK)
- 4-ary PAM

Binary PAM

1: a positive rectangular pulse with amplitude A and width τ



0: a negative rectangular pulse
with amplitude $-A$ and width τ



$$s(t) = \sum_{n=-\infty}^{\infty} Z_n \cdot v(t - n\tau)$$

✓ $\Pr\{Z_n = \pm 1\} = 1/2$

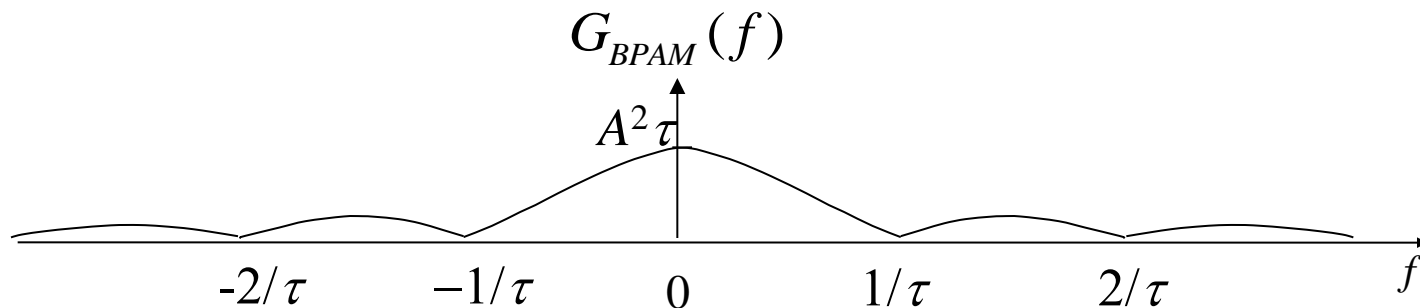
$$\checkmark \quad v(t) = \begin{cases} A, & 0 \leq t \leq \tau \\ 0, & \text{otherwise} \end{cases}$$

Power Spectrum of Binary PAM

$$G_s(f) = \frac{1}{\tau} |V(f)|^2 \cdot \left(\sigma_Z^2 + \frac{\mu_Z^2}{\tau} \sum_{m=-\infty}^{\infty} \delta\left(f - \frac{m}{\tau}\right) \right)$$

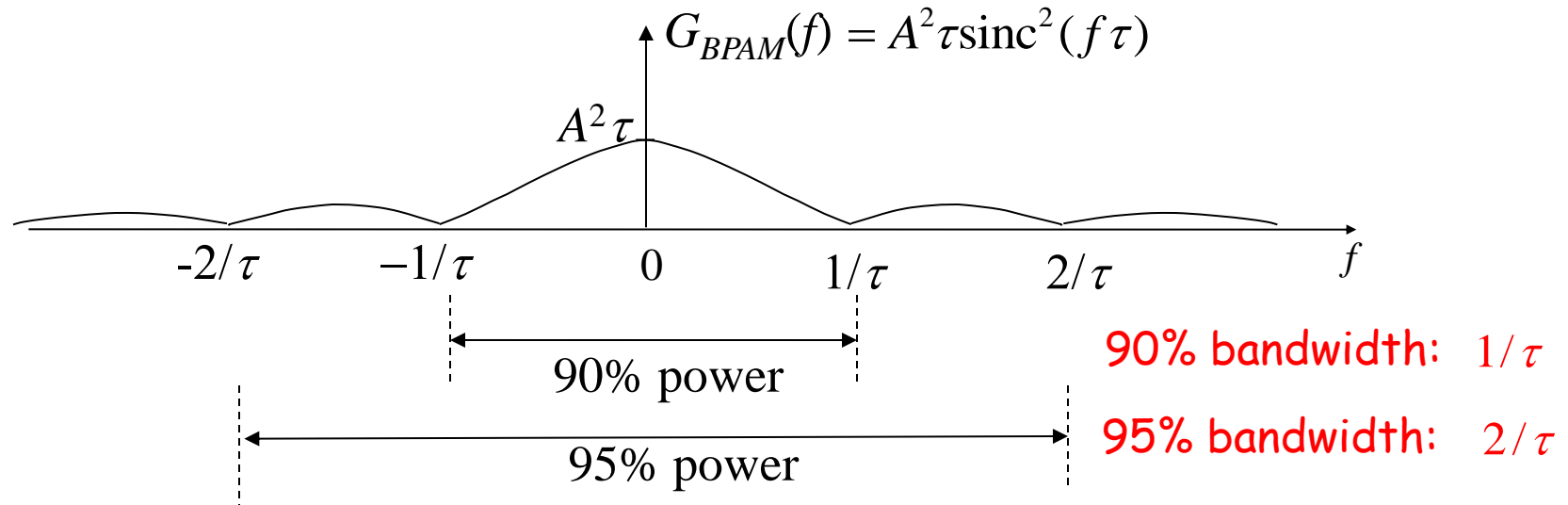
With Binary PAM: $V(f) = A\tau \text{sinc}(f\tau)$
 $\mu_Z = 0, \sigma_Z^2 = 1$

$$G_{BPAM}(f) = A^2\tau \text{sinc}^2(f\tau)$$



See Textbook (Sec. 3.2) or Reference [Proakis & Salehi] (Sec. 8.2) for more details.

Effective Bandwidth of Binary PAM



- Suppose 90% of signal power must pass through the channel (90% in-band power):

$$\left. \begin{array}{l} \text{Required Channel Bandwidth: } B_{h_90\%} = 1/\tau \\ \text{Bit rate: } R_b = 1/\tau \end{array} \right\} B_{h_90\%} = R_b$$

- Suppose 95% of signal power must pass through the channel (95% in-band power):

$$\text{Required Channel Bandwidth: } B_{h_95\%} = 2/\tau = 2R_b$$

Bandwidth Efficiency of Binary PAM

- Bandwidth Efficiency : $\gamma = \frac{\text{Information Bit Rate } R_b}{\text{Required Channel Bandwidth } B_h}$
- Bandwidth Efficiency of Binary PAM:

$$R_b = 1/\tau$$

$$B_{h_90\%} = 1/\tau$$

$$B_{h_95\%} = 2/\tau$$



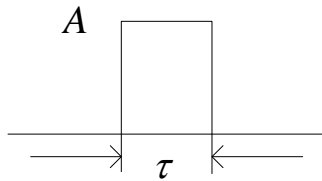
$$\gamma_{BPAM} = 1 \quad \text{with 90\% in-band power}$$

$$\gamma_{BPAM} = 0.5 \quad \text{with 95\% in-band power}$$

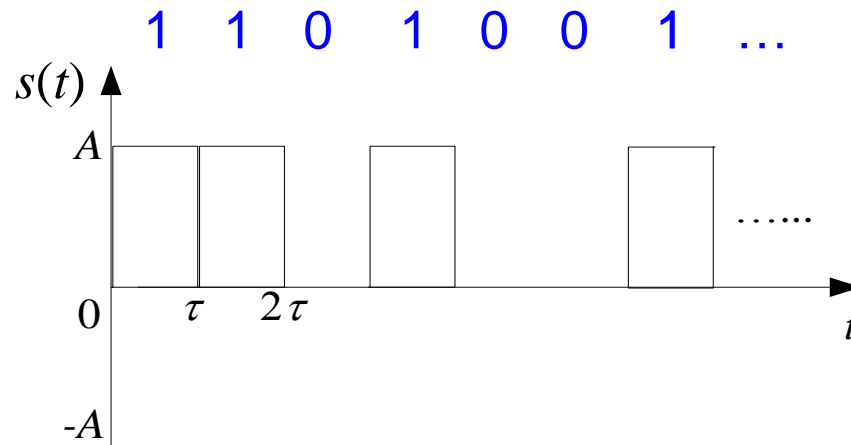
What if the two pulses have unsymmetrical amplitudes?

Binary On-Off Keying (OOK)

1: a positive rectangular pulse with amplitude A and width τ



0: nothing (can be regarded as a pulse with amplitude 0)



$$s(t) = \sum_{n=-\infty}^{\infty} Z_n \cdot v(t - n\tau)$$

$$\checkmark \quad \Pr\{Z_n = 1\} = \Pr\{Z_n = 0\} = 1/2$$

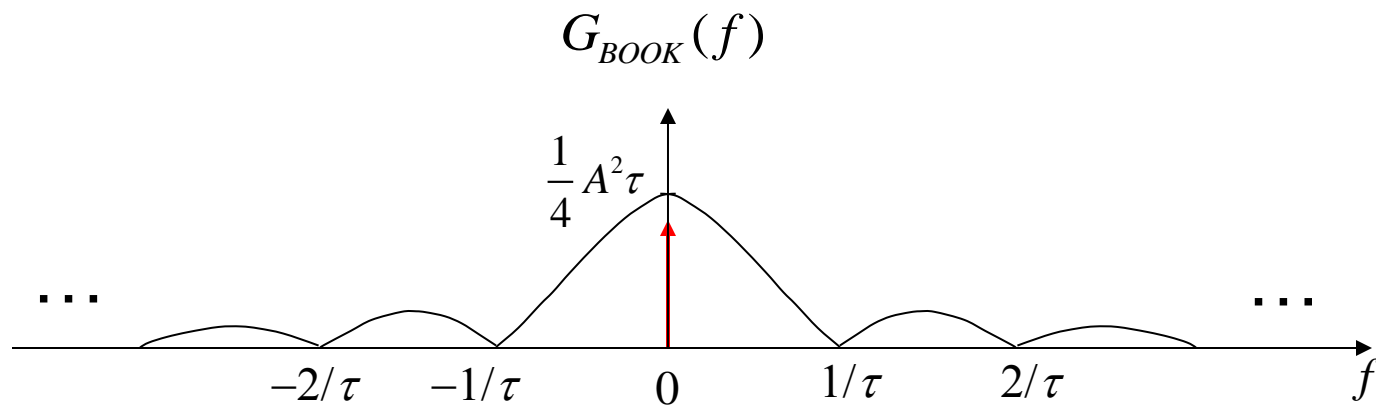
$$\checkmark \quad v(t) = \begin{cases} A, & 0 \leq t \leq \tau \\ 0, & \text{otherwise} \end{cases}$$

Power Spectrum of Binary OOK

$$G_s(f) = \frac{1}{\tau} |V(f)|^2 \cdot \left(\sigma_Z^2 + \frac{\mu_Z^2}{\tau} \sum_{m=-\infty}^{\infty} \delta\left(f - \frac{m}{\tau}\right) \right)$$

With Binary OOK: $V(f) = A\tau \text{sinc}(f\tau)$
 $\mu_Z = 1/2, \sigma_Z^2 = 1/4$

$$G_{BOOK}(f) = \frac{1}{\tau} (A\tau \text{sinc}(f\tau))^2 \cdot \left(\frac{1}{4} + \frac{1}{4\tau} \sum_{m=-\infty}^{\infty} \delta\left(f - \frac{m}{\tau}\right) \right)$$



Bandwidth Efficiency of Binary OOK

- Bandwidth Efficiency : $\gamma = \frac{\text{Information Bit Rate } R_b}{\text{Required Channel Bandwidth } B_h}$
- Bandwidth Efficiency of Binary OOK:

$$R_b = 1/\tau$$

$$B_{h_90\%} = 1/\tau$$

$$B_{h_95\%} = 2/\tau$$



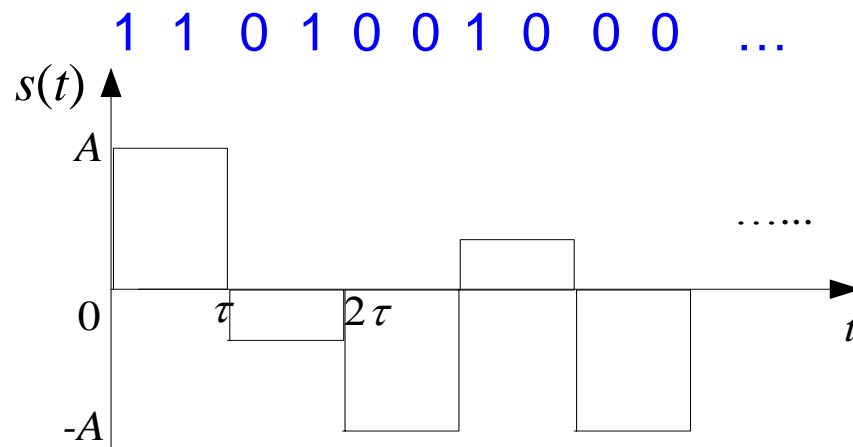
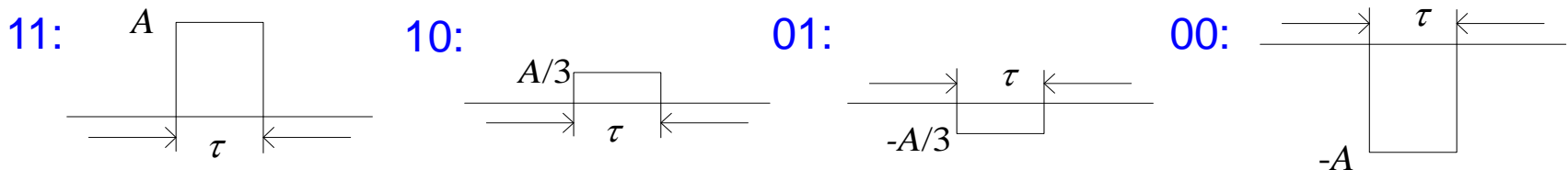
$$\gamma_{BOOK} = 1 \quad \text{with 90\% in-band power}$$

$$\gamma_{BOOK} = 0.5 \quad \text{with 95\% in-band power}$$

Can we improve the bandwidth efficiency without sacrificing the in-band power?

4-ary PAM

- 4-ary PAM: Each waveform carries 2-bit information.



$$s(t) = \sum_{n=-\infty}^{\infty} Z_n \cdot v(t - n\tau)$$

$$\begin{aligned} \checkmark \quad \Pr\{Z_n = 1\} &= \Pr\{Z_n = 1/3\} \\ &= \Pr\{Z_n = -1\} = \Pr\{Z_n = -1/3\} \\ &= 1/4 \end{aligned}$$

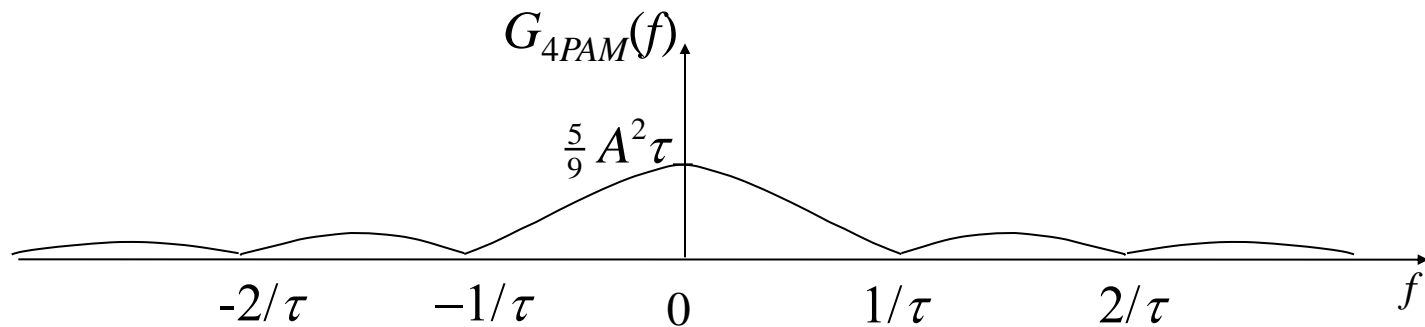
$$\checkmark \quad v(t) = \begin{cases} A, & 0 \leq t \leq \tau \\ 0, & \text{otherwise} \end{cases}$$

Power Spectrum of 4-ary PAM

$$G_s(f) = \frac{1}{\tau} |V(f)|^2 \cdot \left(\sigma_Z^2 + \frac{\mu_Z^2}{\tau} \sum_{m=-\infty}^{\infty} \delta\left(f - \frac{m}{\tau}\right) \right)$$

With 4-ary PAM: $V(f) = A\tau \text{sinc}(f\tau)$
 $\mu_Z = 0, \sigma_Z^2 = 5/9$

$$G_{4PAM}(f) = \frac{5}{9} A^2 \tau \text{sinc}^2(f\tau)$$



- Required channel bandwidth with 90% in-band power: $B_{h_90\%} = 1/\tau$
- Required channel bandwidth with 95% in-band power: $B_{h_95\%} = 2/\tau$

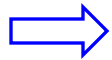
Bandwidth Efficiency of 4-ary PAM

- Symbol rate: $R_s = 1/\tau$
- Bit rate: $R_b = 2 \cdot R_s = 2/\tau$

- Require channel bandwidth:

with 90% in-band power: $B_{h_{90\%}} = 1/\tau = R_s = \frac{1}{2} R_b$

with 95% in-band power: $B_{h_{95\%}} = 2/\tau = 2R_s = R_b$



$$\gamma_{4PAM} = 2 \quad \text{with 90\% in-band power}$$

$$\gamma_{4PAM} = 1 \quad \text{with 95\% in-band power}$$

4-ary PAM achieves higher bandwidth efficiency than binary PAM!

Bandwidth Efficiency of M-ary PAM

- Suppose there are totally M distinct amplitude (power) levels.
- How many bits are carried by each symbol?

$$M = 2^k \Rightarrow k = \log_2 M$$

- What is the relationship between symbol rate R_s and bit rate R_b ?

$$R_s = R_b / k \quad \text{or} \quad R_b = kR_s$$

- What is the required channel bandwidth with 90% in-band power?

$$B_{h_90\%} = R_s = R_b / k$$

- Bandwidth Efficiency of M-ary PAM

Tradeoff between bandwidth efficiency and fidelity performance

$$\gamma_{MPAM} = k = \log_2 M \quad \text{with 90\% in-band power}$$

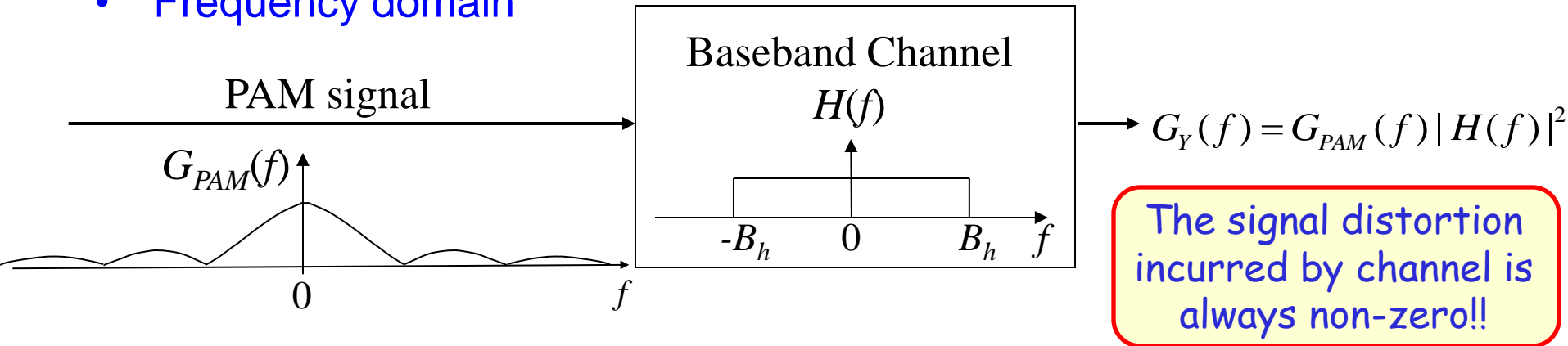
- A larger M also leads to a smaller minimal amplitude difference – higher error probability (to be discussed).

Pulse Shaping

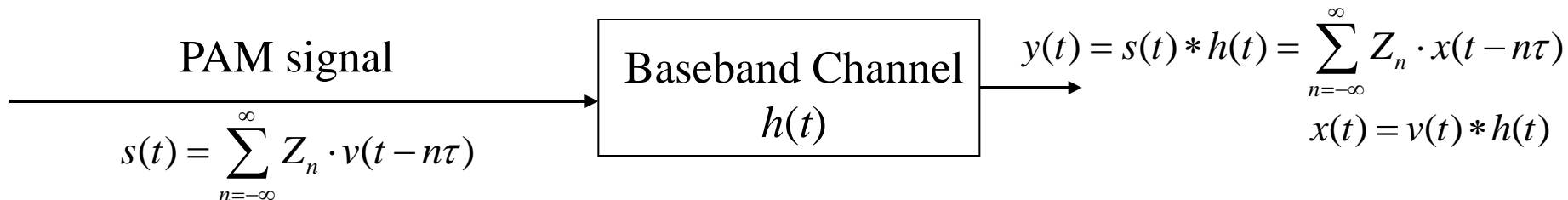
- Inter-Symbol Interference (ISI)
- Sinc-Shaped Pulse and Raised-Cosine Pulse

Transmission over Bandlimited Channel

- Frequency domain



- Time domain

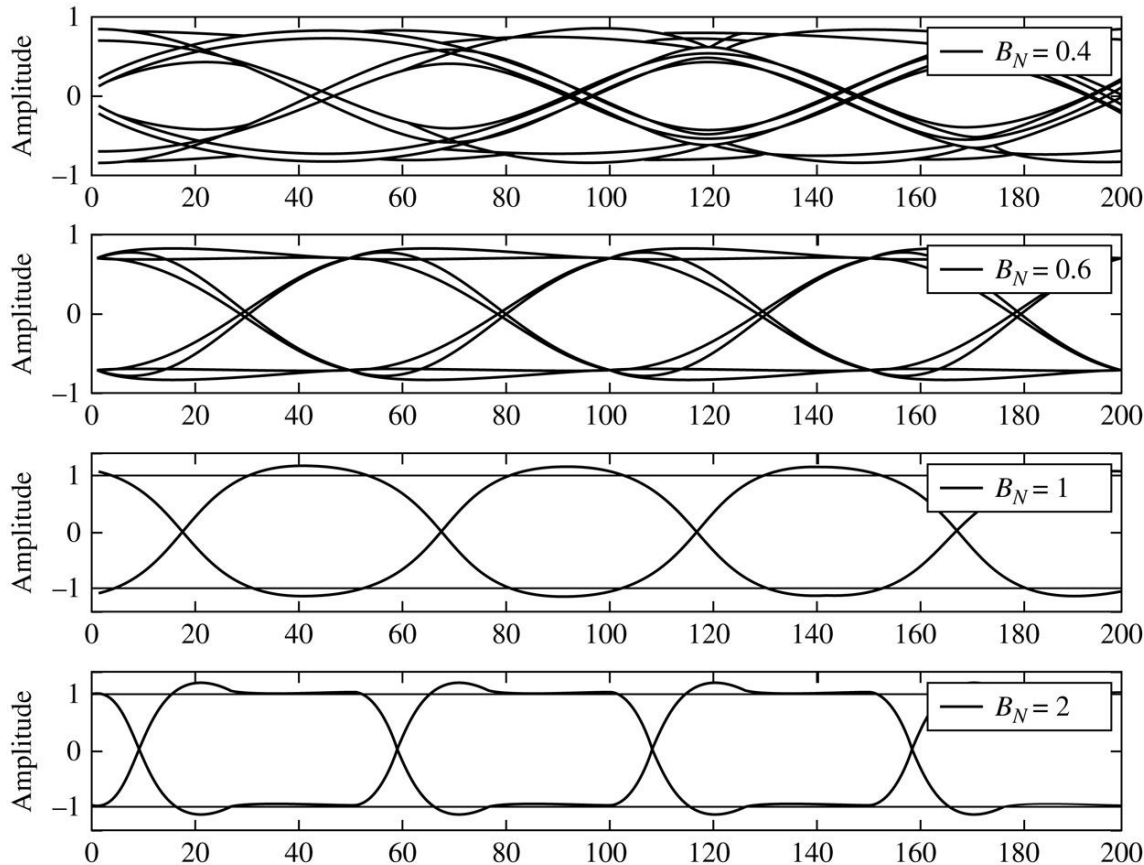


Sample $y(t)$ at $m\tau$, $m=1,2,\dots$, we have

$$y(m\tau) = \sum_{n=-\infty}^{\infty} Z_n \cdot x(m\tau - n\tau) = Z_m \cdot x(0) + \sum_{n \neq m} Z_n \cdot x(m\tau - n\tau)$$

Inter-symbol
Interference
(ISI)!

ISI and Eye Diagram



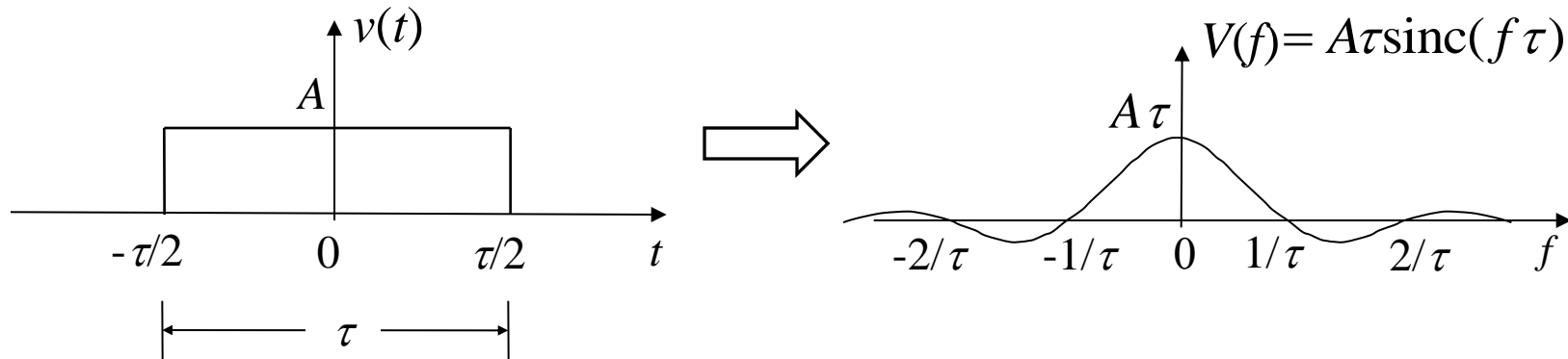
- An eye diagram is constructed by plotting overlapping k-symbol segments of a baseband signal.
- An eye diagram can be displayed on an oscilloscope by triggering the time sweep of the oscilloscope.

See Reference [Ziener & Tranter] (Sec. 4.6) for more details about eye diagram.

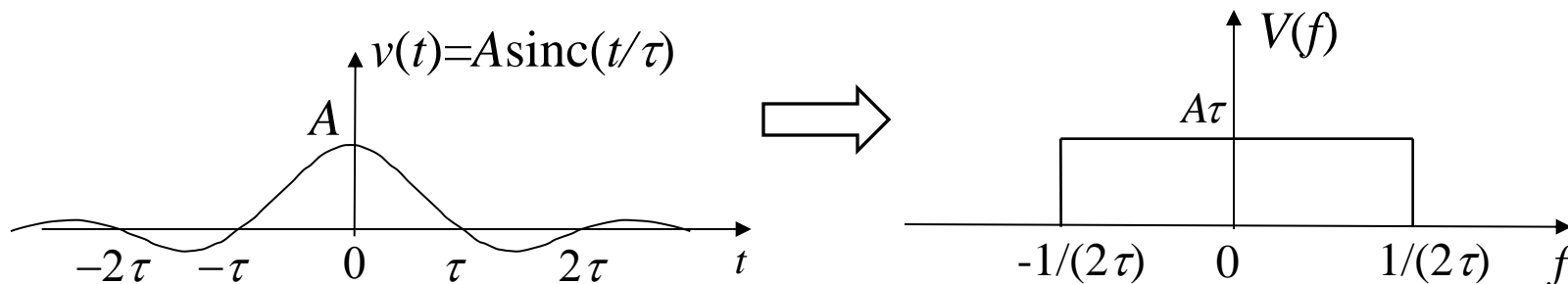
- ISI is caused by insufficient channel bandwidth.
- Any better choice than rectangular pulse?

Sinc-Shaped pulse

Sinc-Shaped Pulse



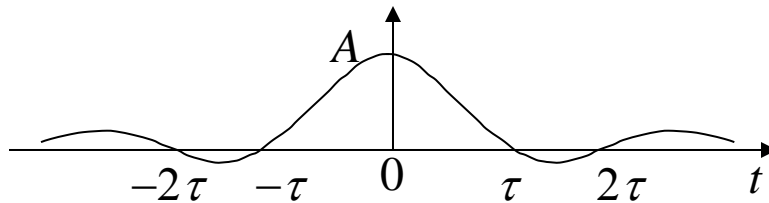
Rectangular Pulse



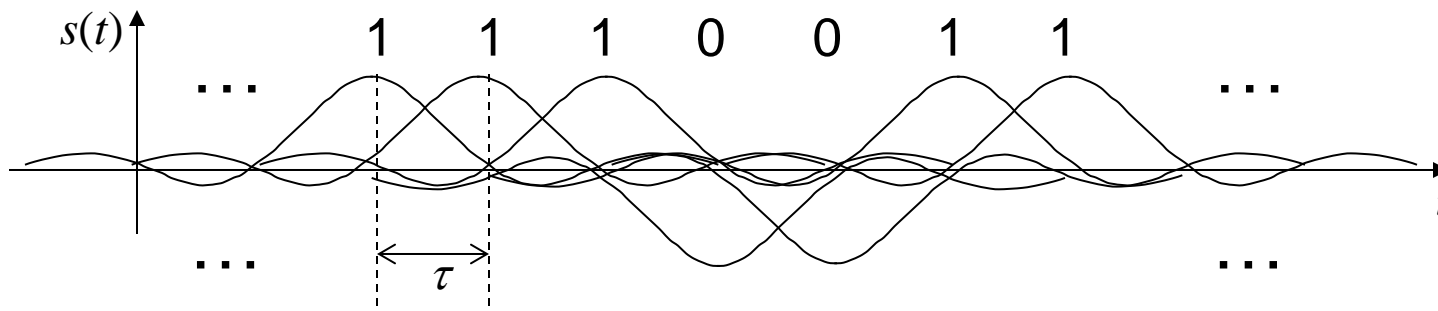
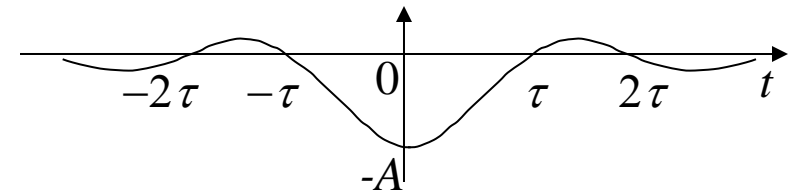
Sinc-Shaped Pulse

Binary Sinc-Shaped-Pulse Modulated Signal

1: a positive sinc-shaped pulse with amplitude A and first crossing-zero point $\pm\tau$



0: a negative sinc-shaped pulse with amplitude $-A$ and first crossing-zero point $\pm\tau$



$$s(t) = \sum_{n=-\infty}^{\infty} Z_n \cdot v(t - n\tau)$$

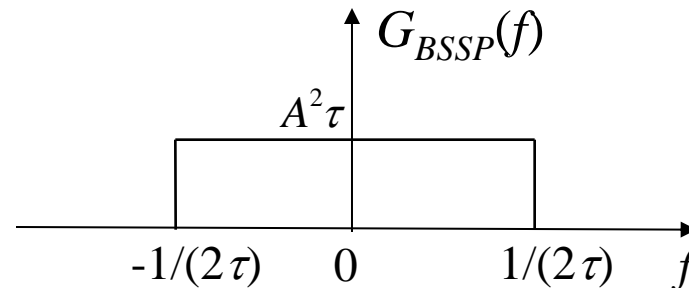
$$\checkmark \quad \Pr\{Z_n = \pm 1\} = 1/2$$

$$\checkmark \quad v(t) = A \operatorname{sinc}(t/\tau)$$

Power Spectrum of Sinc-Shaped-Pulse Modulated Signal

$$G_s(f) = \frac{1}{\tau} |V(f)|^2 \cdot \left(\sigma_Z^2 + \frac{\mu_Z^2}{\tau} \sum_{m=-\infty}^{\infty} \delta\left(f - \frac{m}{\tau}\right) \right)$$

With Binary Sinc-Shaped-Pulse Modulated Signal: $\mu_Z = 0, \sigma_Z^2 = 1$
 $V(f) = A\tau, |f| \leq \frac{1}{2\tau}$ } $G_{BSSP}(f) = A^2\tau, |f| \leq \frac{1}{2\tau}$



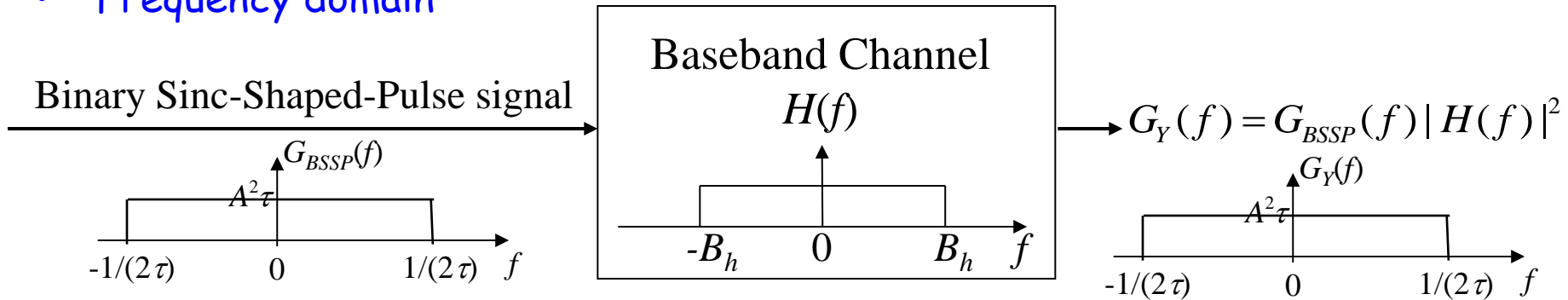
Bit Rate: $R_b = 1/\tau$

Required channel bandwidth: $B_h = 1/(2\tau) = R_b / 2$

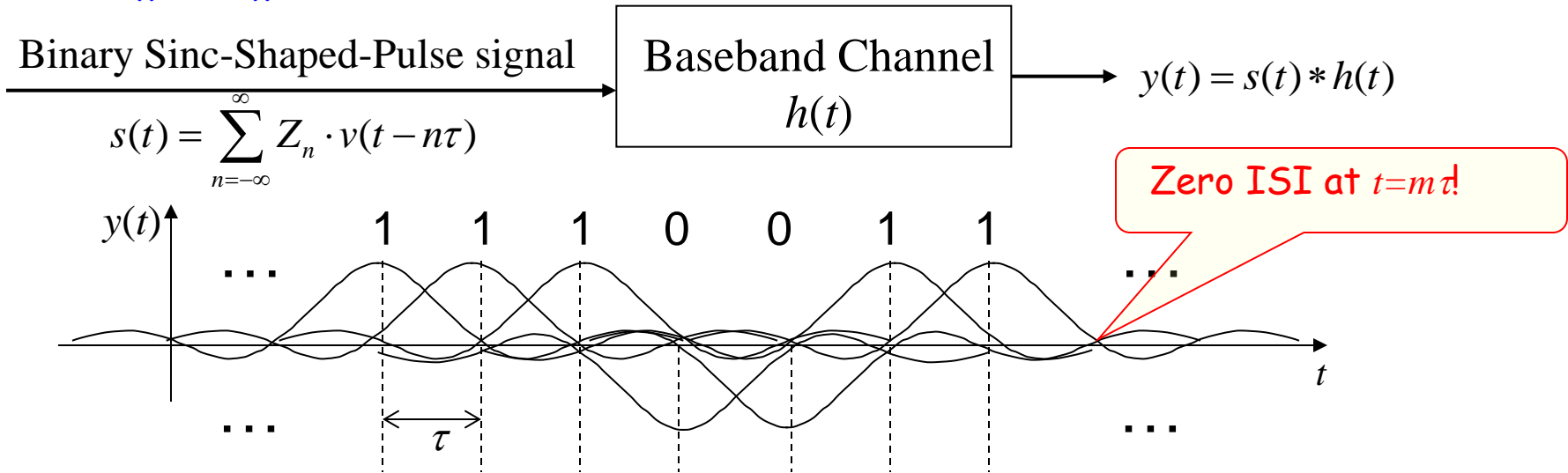
$\gamma_{BSSP} = 2$
 (with 100% in-band power)

Sinc-Shaped-Pulse Modulated Signal over Bandlimited Channel

- Frequency domain



- Time domain



Are there any other (better) choices to achieve zero ISI?

Nyquist Pulse-Shaping Criterion for Zero ISI

Nyquist pulse-shaping criterion for zero ISI

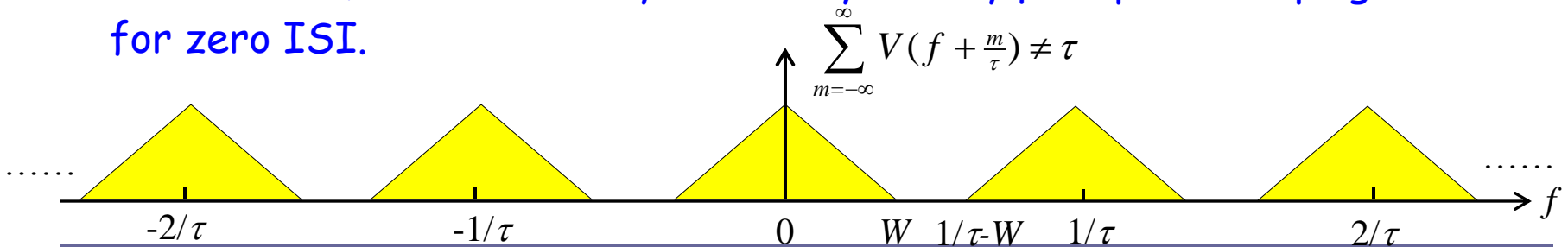
A necessary and sufficient condition for pulse $v(t)$ to satisfy

$$v(n\tau) = \begin{cases} 1, & n = 0 \\ 0, & n \neq 0 \end{cases}$$

is that its Fourier transform $V(f)$ satisfies $\sum_{m=-\infty}^{\infty} V(f + \frac{m}{\tau}) = \tau$.

Suppose that the bandwidth of unit pulse $v(t)$ is W , which is also the required channel bandwidth. To pass the digital modulated signal with symbol rate $1/\tau$ through the channel:

- If $1/\tau - W > W$, there is no way to satisfy the Nyquist pulse-shaping criterion for zero ISI.



Nyquist Pulse-Shaping Criterion for Zero ISI

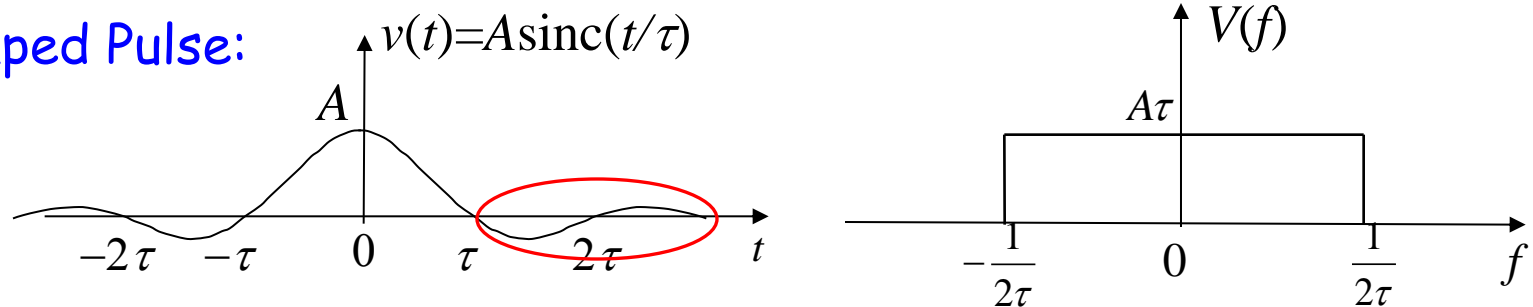
According to Nyquist pulse-shaping criterion for zero ISI:

- ✓ If the symbol rate $1/\tau > 2W$, there is no way that we can design a system with zero ISI.
- ✓ If the symbol rate $1/\tau = 2W$, we must have $V(f) = \begin{cases} \tau, & |f| < W \\ 0, & \text{otherwise} \end{cases}$
 - The maximum symbol rate for zero ISI is $2W$.
 - In the binary case, the highest bandwidth efficiency for zero-ISI is 2, which is achieved by the binary sinc-shaped-pulse modulated signal.
- ✓ If the symbol rate $1/\tau < 2W$, we have numerous choices. One of them is called **Raised-Cosine Pulse**.

See Textbook (Sec. 4.4) for more details.

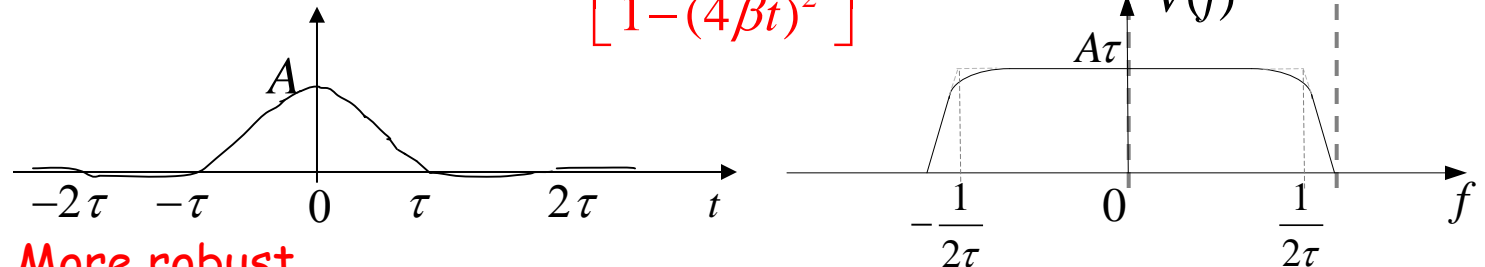
Raised-Cosine Pulse: Tradeoff between Bandwidth Efficiency and Robustness

Sinc-Shaped Pulse:



- Strong ISI at $t \neq n\tau$.
- Perfect synchronization is required at the receiver side.

Raised-Cosine Pulse: $v(t) = A \text{sinc}(t/\tau) \left[\frac{\cos(2\pi\beta t)}{1 - (4\beta t)^2} \right]$



- Larger β $\begin{cases} \text{More robust} \\ \text{Larger bandwidth} \end{cases}$

Summary I: Digital Baseband Modulation

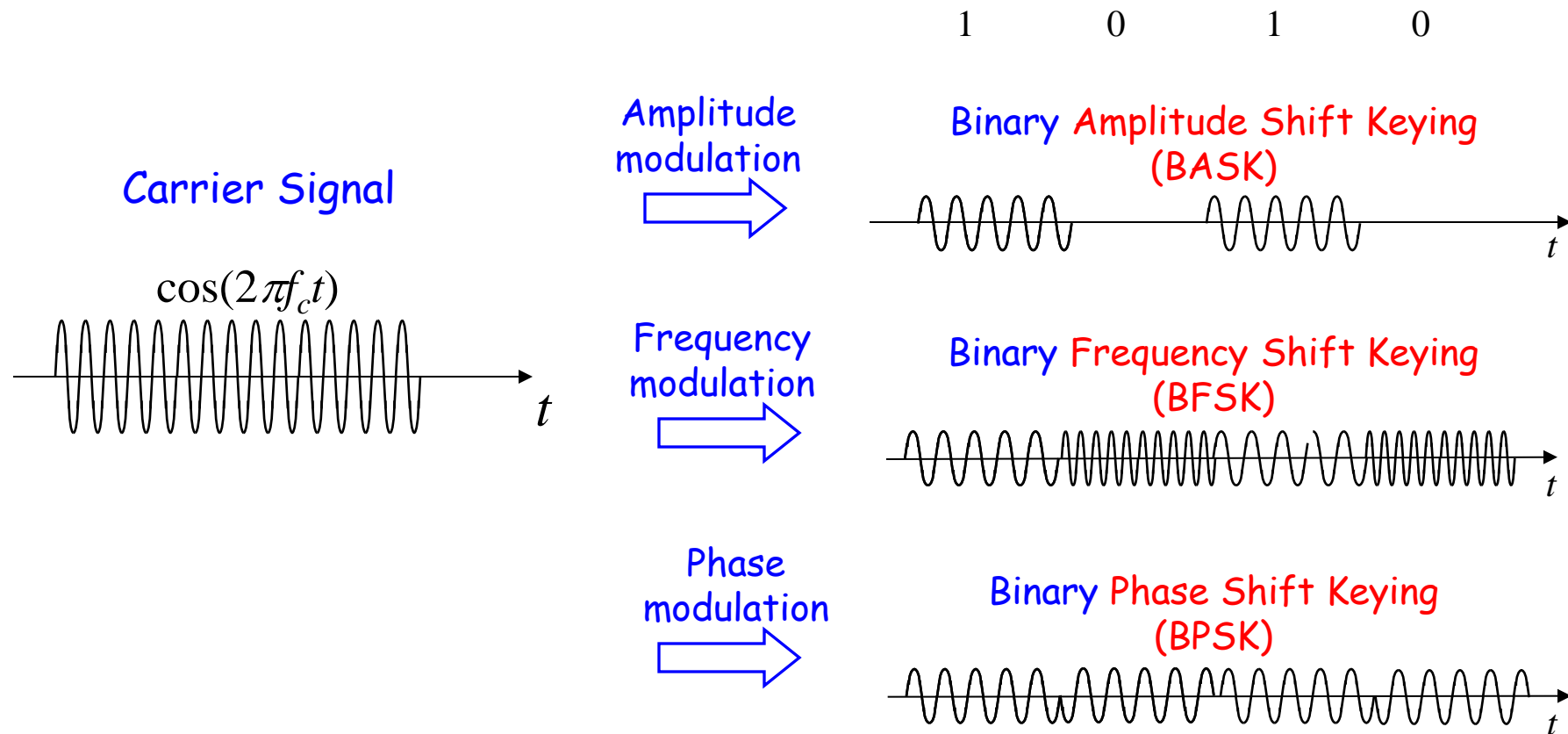
		Complexity	Bandwidth Efficiency
PAM	Binary PAM	Low	1 (90% in-band power)
	4-ary PAM	Low	2 (90% in-band power)
Binary Sinc-Shaped-Pulse Modulation		High (Susceptible to timing jitter)	2 (100% in-band power)
Binary Raised-Cosine-Pulse Modulation		Moderate	$1 < \frac{R_b}{\frac{1}{2}R_b + \beta} < 2$ (100% in-band power)

Digital Bandpass Modulation

- Binary ASK
- Binary FSK
- Binary PSK
- Quaternary PSK

Digital Bandpass Modulation

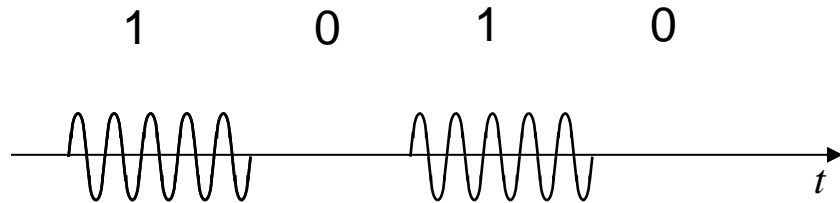
- How to transmit a baseband signal over a bandpass channel?



Binary Amplitude Shift Keying (ASK)

- Generate a binary ASK signal:
 - Send the carrier signal if the information bit is “1”;
 - Send 0 volts if the information bit is “0”.

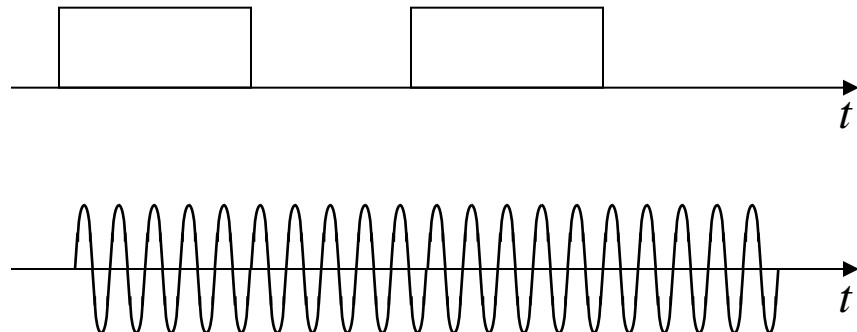
$$s_{BASK}(t) = s_{BOOK}(t) \cos(2\pi f_c t)$$



Binary On-Off Keying $s_{BOOK}(t)$

X

$$\cos(2\pi f_c t)$$



Power Spectrum of BASK

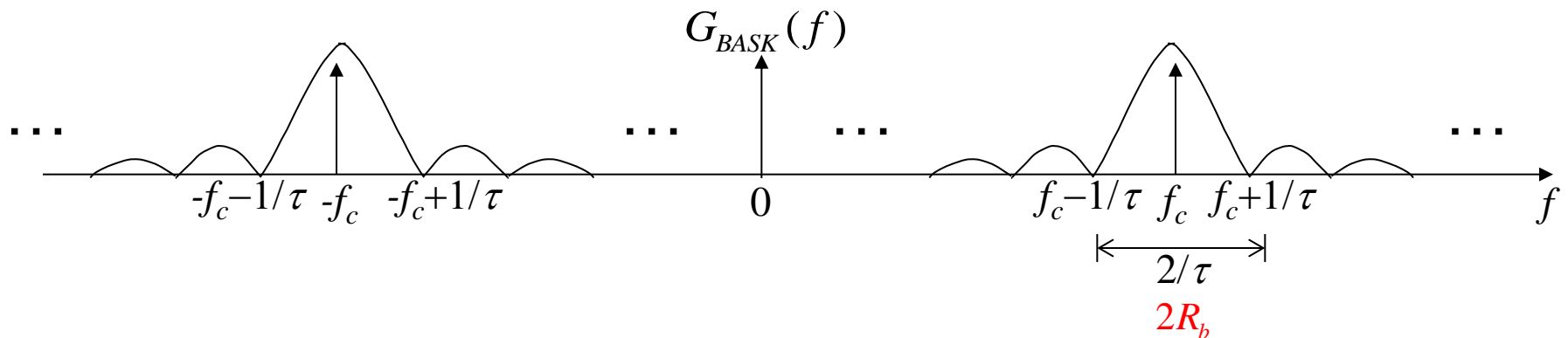
- Power spectrum of Binary OOK:

$$G_{BOOK}(f) = \frac{1}{\tau} (A\tau \text{sinc}(f\tau))^2 \cdot \left(\frac{1}{4} + \frac{1}{4\tau} \sum_{m=-\infty}^{\infty} \delta\left(f - \frac{m}{\tau}\right) \right)$$

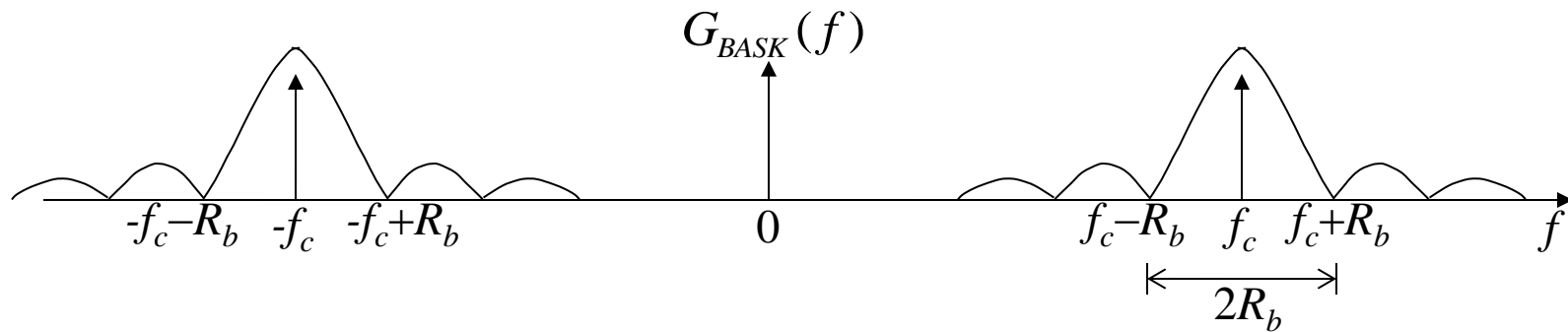
- Power spectrum of Binary ASK:

$$G_{BASK}(f) = \frac{1}{4} [G_{BOOK}(f - f_c) + G_{BOOK}(f + f_c)]$$

Read the
supplemental
material for
details.



Bandwidth Efficiency of BASK



The bandwidth of BASK signal is twice of that of its baseband signal (binary On-Off Keying)!

- The required channel bandwidth for 90% in-band power:

$$B_{h_90\%} = 2R_b$$

- Bandwidth Efficiency of BASK:

$$\gamma_{BASK} = 0.5 \text{ with 90\% in-band power}$$

$$\gamma_{BASK} = 0.25 \text{ with 95\% in-band power}$$

Binary Frequency Shift Keying (BFSK)

- Generate a binary FSK signal:
 - Send the signal $A\cos(2\pi(f_c + \Delta f)t)$ if the information bit is “1”;
 - Send the signal $A\cos(2\pi(f_c - \Delta f)t)$ if the information bit is “0”.

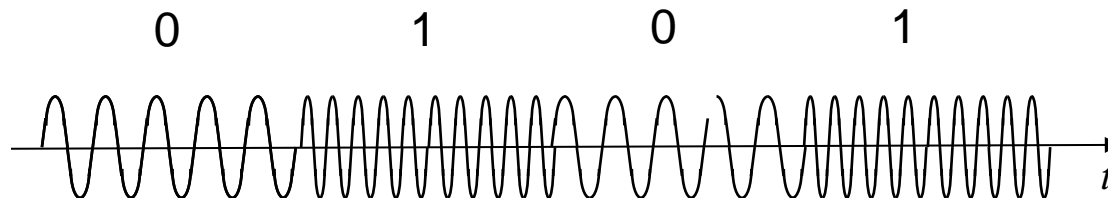
$$s_{BFSK}(t) = \underbrace{s_{b1,BFSK}(t)}_{\downarrow} \cos(2\pi(f_c + \Delta f)t) + \underbrace{s_{b2,BFSK}(t)}_{\downarrow} \cos(2\pi(f_c - \Delta f)t)$$

$$s_{b1,BFSK}(t) = \begin{cases} A & b_i = 1 \\ 0 & b_i = 0 \end{cases}$$

Binary On-Off Keying

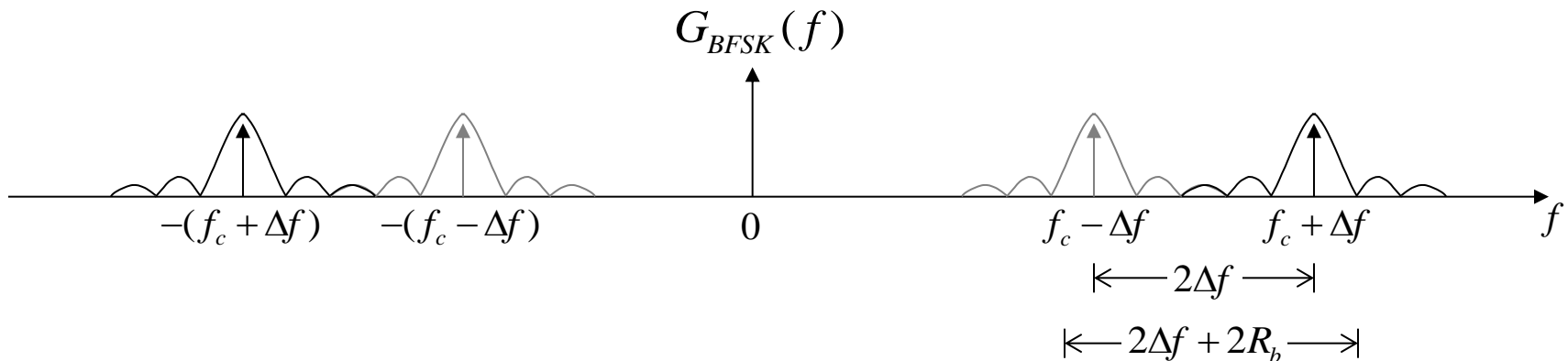
$$s_{b2,BFSK}(t) = \begin{cases} 0 & b_i = 1 \\ A & b_i = 0 \end{cases}$$

Binary On-Off Keying



Bandwidth Efficiency of BFSK

$$G_{BFSK}(f) = \frac{1}{4} [G_{b1,BFSK}(f - (f_c + \Delta f)) + G_{b1,BFSK}(f + (f_c + \Delta f))] \\ + \frac{1}{4} [G_{b2,BFSK}(f - (f_c - \Delta f)) + G_{b2,BFSK}(f + (f_c - \Delta f))]$$



- The required channel bandwidth for 90% in-band power:

$$B_{h_{90\%}} = 2\Delta f + 2R_b$$

- Bandwidth efficiency of BFSK:
(with 90% in-band power)

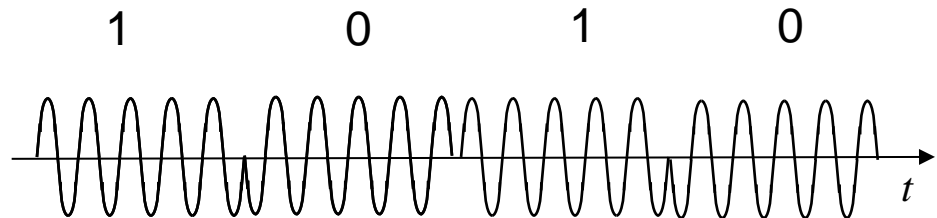
$$\gamma_{BFSK} = 0.5 \cdot \frac{1}{1 + \Delta f / R_b} < 0.5 = \gamma_{BASK}$$

The bandwidth efficiency of BFSK signal is lower than that of BASK signal!

Binary Phase Shift Keying (BPSK)

- Generate a binary PSK signal:
 - Send the signal $A\cos(2\pi f_c t)$ if the information bit is “1”;
 - Send the signal $A\cos(2\pi f_c t + \pi)$ if the information bit is “0”.
 $= -A\cos(2\pi f_c t)$

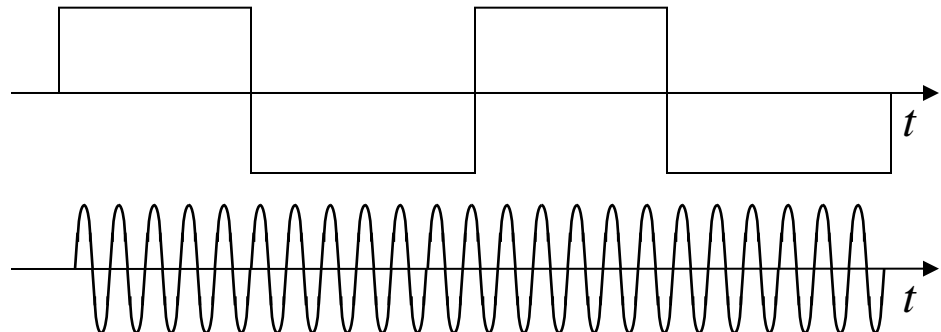
$$s_{BPSK}(t) = s_{BPAM}(t) \cos(2\pi f_c t)$$



Binary PAM $s_{BPAM}(t)$

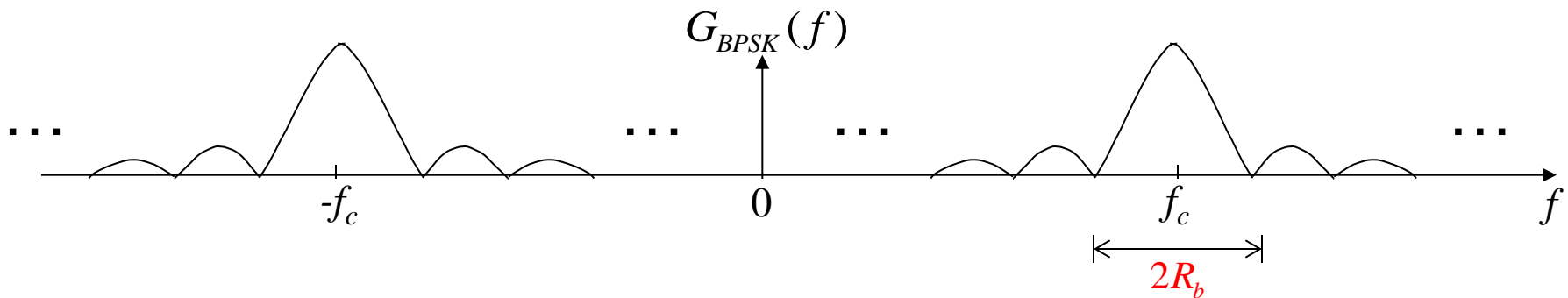
X

$\cos(2\pi f_c t)$



Bandwidth Efficiency of BPSK

$$G_{BPSK}(f) = \frac{1}{4}[G_{BPAM}(f - f_c) + G_{BPAM}(f + f_c)]$$



- The required channel bandwidth for 90% in-band power:

$$B_{h_90\%} = 2R_b$$

- Bandwidth Efficiency of BPSK:

$$\gamma_{BPSK} = 0.5 \text{ with 90\% in-band power}$$

$$\gamma_{BPSK} = 0.25 \text{ with 95\% in-band power}$$

The bandwidth efficiency of BPSK signal is the same as that of BASK signal!

M-ary PSK

- M-ary PSK: transmitting pulses with M possible different **carrier phases**, and allowing each pulse to represent $\log_2 M$ bits.

✓ Binary PSK:

“1” $s_1(t) = A \cos(2\pi f_c t)$

“0” $s_2(t) = A \cos(2\pi f_c t + \pi)$

✓ Quaternary PSK:
(QPSK)

“11” $s_1(t) = A \cos(2\pi f_c t + (-\pi / 4))$

“10” $s_2(t) = A \cos(2\pi f_c t + \pi / 4)$

“00” $s_3(t) = A \cos(2\pi f_c t + 3\pi / 4)$

“01” $s_4(t) = A \cos(2\pi f_c t + 5\pi / 4)$

QPSK

$$\text{"1 1"} \quad s_1(t) = A \cos(2\pi f_c t - \pi/4) = +\frac{A}{\sqrt{2}} \cos(2\pi f_c t) + \frac{A}{\sqrt{2}} \sin(2\pi f_c t)$$

$$\text{"1 0"} \quad s_2(t) = A \cos(2\pi f_c t + \pi/4) = +\frac{A}{\sqrt{2}} \cos(2\pi f_c t) - \frac{A}{\sqrt{2}} \sin(2\pi f_c t)$$

$$\text{"0 0"} \quad s_3(t) = A \cos(2\pi f_c t + 3\pi/4) = -\frac{A}{\sqrt{2}} \cos(2\pi f_c t) - \frac{A}{\sqrt{2}} \sin(2\pi f_c t)$$

$$\text{"0 1"} \quad s_4(t) = A \cos(2\pi f_c t + 5\pi/4) = -\frac{A}{\sqrt{2}} \cos(2\pi f_c t) + \frac{A}{\sqrt{2}} \sin(2\pi f_c t)$$

A QPSK signal can be decomposed into the sum of two PSK signals:
an in-phase component and a quadrature component.

$$s_{QPSK}(t) = d_I \frac{A}{\sqrt{2}} \cos(2\pi f_c t) + d_Q \frac{A}{\sqrt{2}} \sin(2\pi f_c t)$$

$$d_I = \begin{cases} 1 & \text{if } b_{2i-1} = 1 \\ -1 & \text{if } b_{2i-1} = 0 \end{cases} \quad d_Q = \begin{cases} 1 & \text{if } b_{2i} = 1 \\ -1 & \text{if } b_{2i} = 0 \end{cases}$$

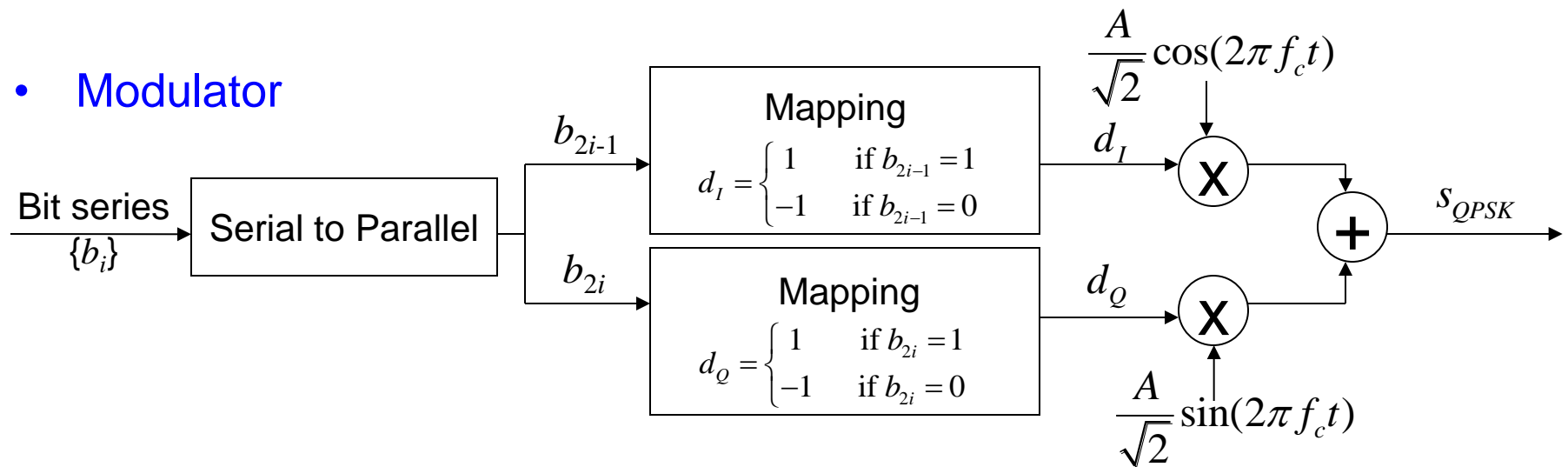
QPSK Modulator

$$s_{QPSK}(t) = d_I \frac{A}{\sqrt{2}} \cos(2\pi f_c t) + d_Q \frac{A}{\sqrt{2}} \sin(2\pi f_c t)$$

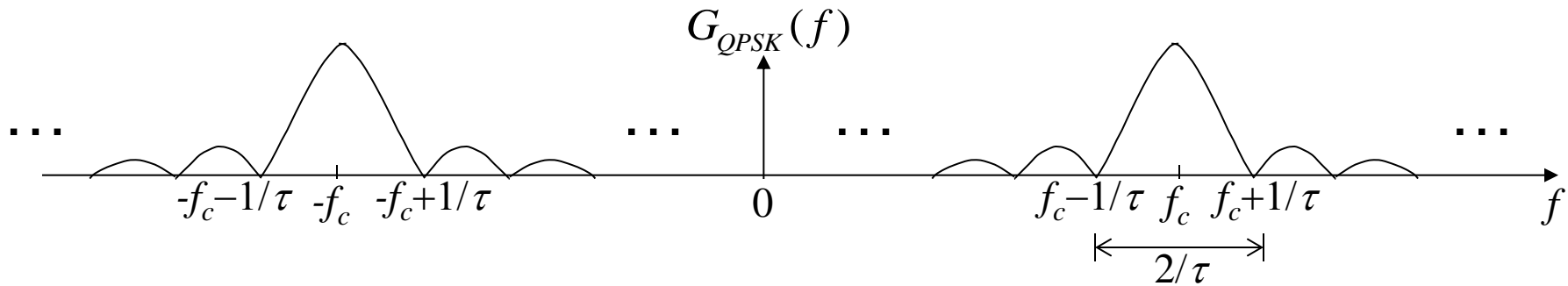
$$d_I = \begin{cases} 1 & \text{if } b_{2i-1} = 1 \\ -1 & \text{if } b_{2i-1} = 0 \end{cases}$$

$$d_Q = \begin{cases} 1 & \text{if } b_{2i} = 1 \\ -1 & \text{if } b_{2i} = 0 \end{cases}$$

- Modulator



Bandwidth Efficiency of QPSK



- Symbol rate: $R_{S,QPSK} = 1/\tau$
- Bit rate: $R_{b,QPSK} = 2R_{S,QPSK} = 2/\tau$
- Required Channel Bandwidth:
 - $B_{h_90\%} = 2R_{S,QPSK} = R_{b,QPSK}$
 - $B_{h_95\%} = 4R_{S,QPSK} = 2R_{b,QPSK}$
- Bandwidth Efficiency:

$$\gamma_{QPSK} = 1 \quad \text{with 90\% in-band power}$$

$$\gamma_{QPSK} = 0.5 \quad \text{with 95\% in-band power}$$

QPSK achieves higher bandwidth efficiency than BPSK!

Summary II: Digital Bandpass Modulation

Bandwidth Efficiency
(90% in-band power)

Binary ASK

0.5

Binary FSK

$$0.5 \cdot \frac{1}{1 + \Delta f / R_b}$$

Binary PSK

0.5

QPSK

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